

SUPERTEX INDUSTRIES LIMITED

An ISO 9001:2015 Certified Company

HEAD OFFICE : BALKRISHNA KRUPA, 2ND FLOOR,
45/49, BABU GENU ROAD, PRINCESS STREET,
MUMBAI - 400 002
TEL.: 91-22-22095630 / 31, 22069034 • FAX : 91-22-22087797
EMAIL : supertex@vsnl.com • WEBSITE : www.supertex.in
CIN.: L99999DN1986PLC000046



19th October, 2020

The BSE Limited
P. J. Towers
Dalal Street, Fort
Mumbai - 400 001

SCRIP CODE: 526133

Dear Sir,

Sub.: Report for violations related to Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015

Kindly find attached report dated October 19, 2020 by the Company for violations related to Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Please take the same on record.

Thanking you,

Yours faithfully,

For **SUPERTEX INDUSTRIES LIMITED**

V.V.Naik

VAISHALI NAIK
COMPANY SECRETARY

Encl : As above

Report dated October 19, 2020 for violations related to Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the Listed Company	Supertex Industries Limited
2	Reporting in capacity of	Listed Company
3	Name of the Designated Person ("DP")	Mr. Sanjay Kumar Mishra
4	PAN of the DP	AALPM2927R
5	Designation of DP	Director and CFO
6	Functional Role of the DP	Finance & Accounts
7	Whether DP is Promoter/ Promoter Group/ Holding CXO level position (CEO, CFO, CTO etc.)	Yes Promoter and CFO
8	Transaction Detail	
	Name of Scrip	Supertex Industries Limited
	No. of Shares Traded (Which includes pledge) and value (Rs.) (date-wise)	Acquired 85,000 equity shares amounting to Rs. 1,36,467/- on October 07, 2020.
9	In case value of Trade(s) is more than Rs. 10 lakh in a calendar quarter - Not applicable	Not applicable
	(a) Date of intimation of trade(s) by the concerned DP/director/ promoter/ promoter group to the Company under Regulation 7 of the SEBI (PIT) Regulations, 2015	
	(b) Date of intimation of trade(s) by the Company to the stock exchanges under Regulation 7 of the SEBI (PIT) Regulations, 2015	
10	Detail of violation observed under the SEBI (PIT) Regulations, 2015	Trading during the period when the trading window was closed.
11	Action taken by the Listed Entity	As recommended by the Audit Committee, a monetary penalty of Rs. 14,000/- has been imposed on concerned Designated Person. Further, he has been advised not to indulge in such act in future.
12	Reasons recorded in writing for taking action stated above	Explanation was sought from Mr. Sanjay Kumar Mishra in the Audit Committee meeting held on 19th October, 2020. He admitted that the violation of insider trading was not intentional and done in good faith. He explained that due to the current Covid-19 pandemic and the consequent work from home etc, the cross checking with support staff for avoiding such lapse was missed by him.
13	Detail of previous instances of violations, if any, since last financial year	None
14	Any other relevant information	None

For **SUPERTEX INDUSTRIES LIMITED**

V.V. Naik

VAISHALI NAIK
COMPANY SECRETARY