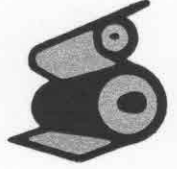


# **SUPERTEX INDUSTRIES LIMITED**

**An ISO 9001:2015 Certified Company**

HEAD OFFICE : BALKRISHNA KRUPA, 2ND FLOOR,  
45/49, BABU GENU ROAD, PRINCESS STREET,  
MUMBAI - 400 002  
TEL.: 91-22-22095630 / 31, 22069034 • FAX : 91-22-22087797  
EMAIL : supertex@vsnl.com • WEBSITE : www.supertex.in  
CIN.: L99999DN1986PLC000046



SIL: 2017-18: 4789

17<sup>th</sup> October, 2017

Dept. of Corporate Services  
The Bombay Stock Exchange Ltd,  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001.

**SCRIP CODE: 526133**

Dear Sir,

**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, for the half year ended 30<sup>th</sup> September, 2017**

We are enclosing herewith certificate under Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2017 issued by the Practising Company Secretaries, M/s. ND & Associates.

Kindly find the same in order.

Thanking you,

Yours faithfully,

For **SUPERTEX INDUSTRIES LTD.**

**DIRECTOR & CFO**  
**DIN:00304796**

Encl: As above

# ND & ASSOCIATES

Practising Company Secretaries

**NS** Neeta H. Desai

BA, LLB, LLM, FCS  
Tel.: 24095104  
Mobile:9821498216  
Mobile:9892288254



279/18, Shantikunj, Road No. 31,  
Sion (East), Mumbai - 400 022.  
E-mail: ndassociates@rediffmail.com  
E-mail: ndassociates2128@gmail.com

The Board of Directors,  
M/s. Supertex Industries Limited,  
Unit No. I & II, Plot No. 45/46,  
Phase II, Piperia Industrial Estate,  
Silvassa, (D & NH) - 394230

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI ( LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **M/s. Supertex Industries Limited** maintained by **M/s. Sharex Dynamic (India) Private Limited** pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations 2015.

Based on the information provided by the Company and RTA, we hereby certify that during the period under review there were no physical transfer of shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment consequent to that there was no requirement to issue certificate(s) to the shareholders.

**For ND & ASSOCIATES**

**Date : Mumbai  
Place: 12.10.2017**

**Neeta H. Desai  
Company Secretary  
C. P. No. 4741**